



IN THE UNITED STATES PATENT AND TRADEMARK

MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney's Docket No.: HSJ920030156US1

FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of:

Applicant: Nicholas I. Buchan et al.

For (Title): **FABRICATION PROCESS FOR PREPARING RECORDING HEAD SLIDERS
MADE FROM SILICON SUBSTRATES WITH SIO₂ OVERCOATS**



ENCLOSURES

- 16 page application including specification, claims and abstract;
- 3 sheets (Figs. 1-8) of informal/ formal drawings;
- A Declaration, Power of Attorney & Petition (signed/ unsigned);
- A postcard for return to us as proof of receipt of the referenced documents.
and
- An Assignment of the invention with an assignment cover sheet;
- Applicant claims small entity status (Under 37 CFR 1.27);
- IDS (form PTO-1449) and copies of references;
- An Associate Power of Attorney;
- A certified copy of the priority document (Under 35 USC 119);
- A Power of Attorney by Assignee;
- Other: Correspondence Chart (1 pg).

TYPE OF FILING

- This application claims benefit of an earlier filed U.S. Patent Application (35 USC 120).
- This application claims benefit of the priority date of an earlier filed provisional patent application (35 USC 119).
- This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV326589923US addressed to the MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 29, 2003 by Patricia Beilmann.

Date: September 29, 2003

FEE CALCULATION

The filing fee has been calculated as shown below:

| | | SMALL ENTITY | OR | OTHER THAN A SMALL ENTITY | |
|--|------------|--------------|--------|------------------------------|------------|
| | RATE | FEE | RATE | FEE | |
| BASIC FEE Design Patent | | \$165 | \$ | \$330 | \$ |
| BASIC FEE Utility Patent | | \$375 | \$ | \$750 | \$ |
| EXTRA FEES | | | | | |
| Total claims | minus 20 = | 0 | x9 = | \$0 | x18 = |
| Independent Claims | minus 3 = | 0 | x42 = | \$0 | x84 = |
| <input type="checkbox"/> Multiple Dep. Claim | | | +140 = | \$ | +280 = |
| <input type="checkbox"/> Assignment | | | +40 = | \$ | +40 = |
| <input type="checkbox"/> Rule 53 Surcharge | | | +65 = | \$ | +130 = |
| TOTAL | | | | \$0 | \$0 |

FEE PAYMENT

- Attached is Check No. _____ in the sum of \$_____ to cover the filing fee.
- Please charge Account No. **08-3240** the sum of \$_____.00.

FEE DEFICIENCY

- Please charge Account No. **08-3240** in the sum of \$_____.00.
- The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. **08-3240**:
 - Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
 - Assignment Recordal fees.
- The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. **08-3240**.
- A duplicate copy of this sheet is enclosed.

Dated: September 29, 2003

Respectfully submitted,

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PATENT TRADEMARK OFFICE

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